I Mina'Trentai Dos Na Liheslaturan Received Bill Log Sheet

LE 1, TO TITLE 22 OF THE GUAM 4:20 p.m. ANNOTATED RELATIVE TO THE SLISHMENT OF THE APPRENTICESHIP OF GUAM, TO ADD ARTICLE 2 TO Rules, Federal, Foreign, & Micronesian Affairs, Human &	BILL NO.	SPONSOR	TITLE	DATE INTRODUCED	DATE REFERRED	CMTE REFERRED	PUBLIC HEARING DATE	DATE COMMITTEE REPORT FILED	FISCAL NOTES
ANNOTATED RELATIVE TO BLISHMENT OF THE LABOR DARDS FOR THE REGISTRATION OF ENTICESHIP PROGRAMS, AND TO ARTICLE 3 TO CHAPTER 10 OF TITLE F THE GUAM CODE ANNOTATED TIVE TO THE ESTABLISHMENT OF A E PLAN FOR EQUAL EMPLOYMENT RTUNITY IN THE GUAM	NO.	SPONSOR lichael T. Limtiaco . J. Respicio	AN ACT TO ADDING A NEW CHAPTER 10, ARTICLE 1, TO TITLE 22 OF THE GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF THE APPRENTICESHIP ACT OF GUAM, TO ADD ARTICLE 2 TO CHAPTER 10 OF TITLE 22 OF THE GUAM CODE ANNOTATED RELATIVE TO ESTABLISHMENT OF THE LABOR STANDARDS FOR THE REGISTRATION OF APPRENTICESHIP PROGRAMS, AND TO ADD ARTICLE 3 TO CHAPTER 10 OF TITLE 22 OF THE GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF A STATE PLAN FOR EQUAL EMPLOYMENT	11/18/14 4:20 p.m.	REFERRED	REFERRED Committee on Rules, Federal, Foreign, & Micronesian Affairs, Human & Natural Resources, and Election			FISCAL NOTES

COMMITTEE ON RULES



I Mina'trentai Dos na Liheslaturan Guåhan • The 32nd Guam Legislature 155 Hesler Place, Hagåtña, Guam 96910 • www.guamlegislature.com E-mail: roryforguam@gmail.com • Tel: (671)472-7679 • Fax: (671)472-3547

Senator Rory J. Respicio CHAIRPERSON MAIORITY LEADER

November 18, 2014

Senator Thomas C. Ada Vice Chairperson Assistant Majority Leader

To: Rennae Meno

MEMORANDUM

Speaker Judith T.P. Won Pat, Ed.D. Member Clerk of the Legislature

Senator Dennis G. Rodriguez, Jr. Member Legislative Legal Counsel

Attorney Therese M. Terlaje

From: Senator Thomas C. Ada

Acting Chairperson of the Committee on Rules

Vice-Speaker Benjamin J.F. Cruz Member

Subject: Referral of Bill No. 425-32(LS)

Legislative Secretary Tina Rose Muña Barnes Member As the Acting Chairperson of the Committee on Rules, I am forwarding my referral of **Bill No. 425-32(LS)**.

Senator Frank Blas Aguon, Jr. Member Please ensure that the subject bill is referred, in my name, to the respective committee, as shown on the attachment. I also request that the same be forwarded to all members of *I Mina'trentai Dos na Liheslaturan Guåhan*.

Senator Michael F.Q. San Nicolas Member

Should you have any questions, please feel free to contact our office at 472-7679.

Senator
V. Anthony Ada
Member
MINORITY LEADER

Si Yu'os Ma'åse!

Senator Aline Yamashita Member Attachment

I MINA'TRENTAI DOS NA LIHESLATURAN GUAHAN 2014 (Second) Regular Session

Bill No. 425-82(15)

Introduced by:

Michael T. Limtiaco

AN ACT TO ADDING A NEW CHAPTER 10, ARTICLE 1, TO TITLE 22 OF THE GUAM CODE ANNOTATED RELATIVE TO THE ESTABLISHMENT OF THE APPRENTICESHIP ACT OF GUAM, TO ADD ARTICLE 2 TO **CHAPTER 10 OF TITLE 22 OF THE GUAM CODE** ANNOTATED RELATIVE TO ESTABLISHMENT THE LABOR **STANDARDS FOR** REGISTRATION OF APPRENTICESHIP PROGRAMS, AND TO ADD ARTICLE 3 TO **CHAPTER 10 OF TITLE 22 OF THE GUAM CODE** ANNOTATED RELATIVE TO THE ESTABLISHMENT OF A STATE PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY IN THE GUAM APPRENTICESHIP ACT

1 BE IT ENACTED BY THE PEOPLE OF GUAM:

- 2 §10101. Citation.
- 3 §10102. Statement of Public Policy.
- 4 §10103. Definitions.
- 5 §10104. Guam State Apprenticeship Council.
- 6 §10105. Powers and Duties of Director.
- 7 §10106. Territorial-Federal cooperation.
- 8 §10107. Severability.
- 9 §10108. Enactment.
- 10 §10101. Citation.
- 11 A new Chapter 10 is added to Title 22, Guam Code Annotated, to read: The
- 12 Apprenticeship Act of Guam.
- 13 §10102. Statement of Public Policy.
- 14 (a) Skilled manpower constitutes a great resource on Guam. Registered
- 15 Apprenticeship programs, through supervised training and education, develop
- skilled journeyworkers and help meet the increasing needs for such workers in the
- 17 traditional labor force. The continuing development of skilled manpower is
- 18 essential for individual self-realization and for an expanding industrial economy.
- 19 To these ends, it is the declared public policy of Guam to develop sound
- 20 apprenticeship training standards and to encourage industry and labor to institute
- 21 training programs.
- 22 (b) This chapter shall apply to a person, firm, corporation, or craft only after
- such person, firm, corporation, or craft has voluntarily elected to conform with its
- provisions, for the purpose of participating in Registered Apprenticeship.
- 25 **§10103. Definitions.**
- 26 Agency means the Guam Department of Labor, Division of Apprenticeship.

- 1 Department means the U.S. Department of Labor, Employment and Training
- 2 Administration, Office of Apprenticeship.
- 3 Director means the Director, Guam Department of Labor.
- 4 Apprentice means a worker at least 16 years of age, except where a higher
- 5 minimum age standard is otherwise fixed by law, who is employed to learn an
- 6 apprenticeable occupation as provided in §10204 under standards of apprenticeship
- 7 fulfilling the requirements of §10205.
- 8 Apprenticeship Agreement means a written agreement, complying with §10207,
- 9 Apprenticeship Agreement, between an apprentice and either the apprentice's
- 10 program sponsor, or an apprenticeship committee acting as agent for the program
- sponsor(s), which contains the terms and conditions of the employment and
- training of the apprentice.

13 §10104. Guam State Apprenticeship Council.

- 14 (a) The Governor shall establish the Guam State Apprenticeship Council
- 15 (GSAC) and, upon recommendation of the Director, will appoint all members. The
- 16 GSAC shall be composed of persons familiar with apprenticeable occupations with
- 17 an equal number of representatives of employer and of employee organizations and
- one public member. Each representative so named shall have one vote. Ex officio
- 19 members may be added to the GSAC, but shall have no vote. The Director shall be
- a member of the GSAC and may have the tie-breaking vote.
- 21 (b) The GSAC: (a) shall advise the Director or his designee on apprentice
- training matters, including the matters of related and supplemental instruction; (b)
- 23 may recommend suggested standards for apprenticeship agreements; (c) shall
- 24 maintain a close and effective liaison with governmental and non governmental
- 25 agencies which are concerned with skilled manpower development and problems;
- 26 and (d) may recommend research projects on facts and trends relating to
- 27 apprenticeship training and the supply of and needs for skilled manpower.

1 §10105. Powers and Duties of Director.

- 2 The Director shall:
- 3 (a) Establish standards for Apprenticeship Agreements in conformity with this
- 4 chapter;
- 5 (b) Provide assistance for the development of on-the-job learning programs in
- 6 apprenticeable occupations or local apprenticeable occupations;
- 7 (c) Encourage and promote the making of Apprenticeship Agreements
- 8 conforming to the standards established by this chapter;
- 9 (d) Register such Apprenticeship Agreements as are in the best interest of
- apprenticeship and which conform to the standards established by this chapter;
- 11 (e) Keep a record of Apprenticeship Agreements and upon determination
- 12 thereof issue either an Interim Credential or a Certificate of Completion of
- 13 Apprenticeship;
- 14 (f) Terminate or cancel any Apprenticeship Agreements in accordance with the
- 15 agreements;
- 16 (g) Bring about the settlement of difference arising out of the Apprenticeship
- 17 Agreement where the differences cannot be otherwise adjusted locally;
- 18 (h) Issue such rules and regulations as may be necessary to carry out the intent
- and purpose of this chapter;
- 20 (i) Appoint personnel as are necessary to execute the functions required under
- 21 this chapter; and
- 22 (j) Perform other duties as are necessary to carry out the intent and purpose of
- this chapter.
- 24 (k) Submit all proposed modifications in legislation, regulations, policies and/or
- operation procedures planned or anticipated by the Agency, either at the time of
- 26 application for recognition or subsequently, to the Department for review and
- obtain the Department's concurrence prior to implementation.

1	§10106.	Territorial-Federal cooperation.					
2	The Age	ncy may promote the administration of this chapter by accepting and					
3	utilizing	information, services, and facilities made available to it by the					
4	Departme	ent.					
5	§10107.	Severability.					
6	If any pr	ovision of this Law or its application to any person or circumstance is					
7	found to be invalid or contrary to law, such invalidity shall not affect other						
8	provision	s or applications of this Law which can be given effect without the					
9	invalid pr	rovisions or application, and to this end the provisions of this Law are					
10	severable.						
11	§10108.	Enactment.					
12	This act s	hall become effective upon enactment.					
13		Division 1					
14		Labor Regulations					
15		0CHAPTER 10					
16		THE APPRENTICESHIP ACT OF GUAM					
17		ARTICLE 2					
18	GU	JAM LABOR STANDARDS FOR THE REGISTRATION OF					
19		APPRENTICESHIP PROGRAMS					
20	10201.	Purpose and scope.					
21	10202.	Definitions.					
22	10203.	Eligibility and procedure for registration of an apprenticeship					
23	program.						
24	10204.	Criteria for apprenticeable occupations.					
25	10205.	Standards of apprenticeship.					
26	10206.	Program performance standards.					
27	10207.	Apprenticeship agreement.					

- 1 10208. Deregistration of a registered program.
- 2 10209. Reinstatement of program registration.
- 3 10210. Hearings for deregistration.
- 4 10211. Limitations.
- 5 10212. Complaints.
- 6 10213. Reciprocity.

7 §10201. Purpose and scope.

- 8 (a) The Guam Apprenticeship Act, Title 22, Guam Code Annotated (GCA),
- 9 Chapter 10, authorizes and directs the Guam Department of Labor as the Guam
- 10 State Apprenticeship Agency, to formulate and promote the furtherance of labor
- standards necessary to safeguard the welfare of apprentices, to extend the
- 12 application of such standards by encouraging the inclusion thereof in contracts of
- 13 apprenticeship, to bring together employers and labor for the formulation of
- 14 programs of apprenticeship.
- 15 (b) The purpose of this part is to set forth labor standards to safeguard the welfare
- of apprentices, promote apprenticeship opportunity, and to extend the application
- of such standards by prescribing policies and procedures concerning the
- 18 registration, for certain Federal purposes, of acceptable apprenticeship programs
- 19 with the Guam Department of Labor. These labor standards, policies and
- 20 procedures cover the registration, cancellation and deregistration of apprenticeship
- 21 programs and of apprenticeship agreements; the recognition of the Guam
- 22 Department of Labor as an authorized agency for registering apprenticeship
- programs for certain Federal purposes; and matters relating thereto.

24 **§10202. Definitions.**

- 25 Administrator means the Administrator of the Office of Apprenticeship, U.S.
- Department of Labor, or any person specifically designated by the Administrator.

- 1 Agency means the Guam Department of Labor, Division of Apprenticeship which
- 2 is the State Registration Agency for the purposes of 29 CFR 29.
- 3 Apprentice means a worker at least 16 years of age, except where a higher
- 4 minimum age standard is otherwise fixed by law, who is employed to learn an
- 5 apprenticeable occupation as provided in §10204 under standards of apprenticeship
- 6 fulfilling the requirements of §10205.
- 7 Apprenticeship Agreement means a written agreement, complying with §10207,
- 8 Apprenticeship Agreement, between an apprentice and either the apprentice's
- 9 program sponsor, or an apprenticeship committee acting as agent for the program
- 10 sponsor(s), which contains the terms and conditions of the employment and
- training of the apprentice.
- 12 Apprenticeship Committee (Committee) means those persons designated by the
- sponsor to administer the program. A committee may be either joint or non-joint,
- 14 as follows:
- 15 (1) A joint committee is composed of an equal number of representatives of the
- employer(s) and of the employees represented by a bona fide collective bargaining
- 17 agent(s).
- 18 (2) A non-joint committee, which may also be known as a unilateral or group
- 19 non-joint (which may include employees) committee, has employer representatives
- 20 but does not have a bona fide collective bargaining agent as a participant.
- 21 Apprenticeship Program means a plan containing all terms and conditions for the
- 22 qualification, recruitment, selection, employment and training of apprentices, as
- 23 required under 22 GCA 10, Article 2, Guam Labor Standards for the Registration
- 24 of Apprenticeship Programs, and Article 3, Guam State Plan for Equal
- 25 Employment Opportunity in Apprenticeship Programs, including such matters as
- 26 the requirement for a written apprenticeship agreement.

- 1 Cancellation means the termination of the registration or approval status of a
- 2 program at the request of the sponsor, or termination of an Apprenticeship
- 3 Agreement at the request of the apprentice.
- 4 Certification or Certificate means documentary evidence that:
- 5 (1) The Agency has established that an individual is eligible for probationary
- 6 employment as an apprentice under a registered apprenticeship program;
- 7 (2) The Agency has registered an apprenticeship program as evidenced by a
- 8 Certificate of Registration;
- 9 (3) The Agency has determined that an apprentice has successfully met the
- 10 requirements to receive an interim credential; or
- 11 (4) The Agency has determined that an individual has successfully completed
- 12 apprenticeship.
- 13 Competency means the attainment of manual, mechanical or technical skills and
- 14 knowledge, as specified by an occupational standard and demonstrated by an
- appropriate written and hands-on proficiency measurement.
- 16 Completion rate means the percentage of an apprenticeship cohort who receive a
- certificate of apprenticeship completion within 1 year of the projected completion
- date. An apprenticeship cohort is the group of individual apprentices registered to a
- specific program during a 1 year time frame, except that a cohort does not include
- 20 the apprentices whose apprenticeship agreement has been cancelled during the
- 21 probationary period.
- 22 Department means U.S. Department of Labor, Employment and Training
- 23 Administration, Office of Apprenticeship.
- 24 Electronic media means media that utilize electronics or electromechanical energy
- for the end user (audience) to access the content; and includes, but is not limited to,
- 26 electronic storage media, transmission media, the Internet, extranet, lease lines,

- 1 dial-up lines, private networks, and the physical movement of
- 2 removable/transportable electronic media and/or interactive distance learning.
- 3 Employer means any person or organization employing an apprentice whether or
- 4 not such person or organization is a party to an Apprenticeship Agreement with the
- 5 apprentice.
- 6 Federal Purposes includes any Federal contract, grant, agreement or arrangement
- 7 dealing with apprenticeship; and any Federal financial or other assistance, benefit,
- 8 privilege, contribution, allowance, exemption, preference or right pertaining to
- 9 apprenticeship.
- 10 Guam State Apprenticeship Council is an entity established to assist the Agency.
- 11 The Guam State Apprenticeship Council provides advice and guidance to the
- 12 Agency on the operation of the State's Apprenticeship System.
- 13 Interim credential means a credential issued by the Agency, upon request of the
- 14 appropriate sponsor, as certification of competency attainment by an apprentice.
- 15 Journeyworker means a worker who has attained a level of skill, abilities and
- 16 competencies recognized within an industry as having mastered the skills and
- 17 competencies required for the occupation. (Use of the term may also refer to a
- 18 mentor, technician, specialist or other skilled worker who has documented
- 19 sufficient skills and knowledge of an occupation, either through formal
- apprenticeship or through practical on-the-job experience and formal training.)
- 21 Office of Apprenticeship means the office designated by the Employment and
- 22 Training Administration of the U.S. Department of Labor to administer the
- 23 National Apprenticeship system or its successor organization.
- 24 Provisional registration means the 1-year initial provisional approval of newly
- 25 registered programs that meet the required standards for program registration, after
- 26 which program approval may be made permanent, continued as provisional, or
- 27 rescinded following a review by the Agency, as provided for in the criteria

- described in §10203(g) and (h), Eligibility and procedure for registration of an
- 2 apprenticeship program.
- 3 Quality Assurance Assessment means a comprehensive review conducted by the
- 4 Agency regarding all aspects of an apprenticeship program's performance,
- 5 including but not limited to, determining if apprentices are receiving: on-the-job
- 6 training in all phases of the apprenticeable occupation; scheduled wage increases
- 7 consistent with the registered standards; related instruction through appropriate
- 8 curriculum and delivery systems; and that the registration agency is receiving
- 9 notification of all new registrations, cancellations, and completions as required in
- 10 this part.
- 11 Registration of an apprenticeship agreement means the acceptance and recording
- of an apprenticeship agreement by the Agency as evidence of the apprentice's
- participation in a particular registered apprenticeship program.
- 14 Registration of an apprenticeship program means the acceptance and recording of
- such program by the Agency as meeting the basic standards and requirements of
- the Department for approval of such program for Federal purposes. Approval is
- 17 evidenced by a Certificate of Registration.
- 18 Related instruction means an organized and systematic form of instruction
- 19 designed to provide the apprentice with the knowledge of the theoretical and
- 20 technical subjects related to the apprentice's occupation. Such instruction may be
- 21 given in a classroom, through occupational or industrial courses, or by
- 22 correspondence courses of equivalent value, electronic media, or other forms of
- 23 self-study approved by the Agency.
- 24 Secretary means the Secretary of Labor or any person designated by the Secretary.
- 25 Sponsor means any person, association, committee, or organization operating an
- 26 apprenticeship program and in whose name the program is (or is to be) registered
- 27 or approved.

- 1 State means any of the 50 States of the United States, District of Columbia, or any
- 2 Territory or possession of the United States.
- 3 Technical assistance means guidance provided by the Agency staff in the
- 4 development, revision, amendment, or processing of a potential or current program
- 5 sponsor's Standards of Apprenticeship, Apprenticeship Agreements or advice or
- 6 consultation with a program sponsor to further compliance with part or guidance
- 7 from the Office of Apprenticeship, to the Agency on how to remedy
- 8 nonconformity with this part.
- 9 Transfer means a shift of apprenticeship registration from one program to another
- or from one employer within a program to another employer within that same
- 11 program, where there is agreement between the apprentice and the affected
- 12 apprenticeship committees or program sponsors.
- 13 §10203. Eligibility and procedure for registration of an apprenticeship
- 14 program.
- 15 (a) No apprenticeship program or agreement shall be eligible for registration
- unless it conforms with §10205, Standards of Apprenticeship.
- 17 (b)Only an apprenticeship program or agreement that meets the following criteria
- is eligible for Agency registration:
- 19 (1) It is in conformity with the requirements of this part and the training is in
- an apprenticeable occupation having the characteristics set forth in §10204 of this
- 21 part; and
- 22 (2) It is in conformity with the requirements of the Department's regulation on
- 23 Equal Employment Opportunity in Apprenticeship and Training in 22 GCA 10,
- 24 Article 3.
- 25 (c) Except as provided under paragraph (d) of this section, apprentices must be
- 26 individually registered under a registered program. Such individual registration
- 27 may be affected:

- 1 (1) By filing copies of each individual apprenticeship agreement with the 2 Agency; or
- 3 (2) Subject to prior Agency approval, by filing a master copy of such 4 agreement followed by a listing of the name, and other required data, of each
- 5 individual when apprenticed.
- 6 (d) The names of persons in probationary employment as an apprentice under an
- 7 apprenticeship program registered by the Agency, if not individually registered
- 8 under such program, must be submitted within 45 days of employment to the
- 9 Agency for certification to establish the apprentice as eligible for such
- 10 probationary employment.
- 11 (e) The Agency must be notified within 45 days of persons who have successfully
- 12 completed apprenticeship programs; and of transfers, suspensions, and
- 13 cancellations of apprenticeship agreements and a statement of the reasons
- 14 therefore.
- 15 (f) Apprenticeship programs approved by the Agency must be accorded
- 16 registration and/or approval evidenced by a Certificate of Registration.
- 17 (g) Applications for new programs that the Agency determines meet the required
- 18 standards for program registration must be given provisional approval for a period
- 19 of 1 year. The Agency must review all new programs for quality and for
- 20 conformity with the requirements of this part at the end of the first year after
- 21 registration. At that time:
- 22 (1) a program that conforms with the requirements of this part:
- 23 (i) may be made permanent; or
- 24 (ii) may continue to be provisionally approved through the first full 25 training cycle.
- 26 (2) a program not in operation or not conforming to the regulations during the 27 provisional approval period must be recommended for deregistration procedures.

- 1 (h) The Agency must review all programs for quality and for conformity with the
- 2 requirements of this part at the end of the first full training cycle. A satisfactory
- 3 review of a provisionally approved program will result in conversion of provisional
- 4 approval to permanent registration. Subsequent reviews must be conducted no less
- 5 frequently than every five years. Programs not in operation or not conforming to
- 6 the regulations must be recommended for deregistration procedures.
- 7 (i) Any sponsor proposals or applications for modification(s) or change(s) to
- 8 registered programs must be submitted to the Agency. The Agency must make a
- 9 determination on whether to approve such submissions within 90 days from the
- date of receipt. If approved, the modification(s) or change(s) will be recorded and
- acknowledged within 90 days of approval as an amendment to such program. If
- 12 not approved, the sponsor must be notified of the disapproval and the reasons
- therefore and provided the appropriate technical assistance.
- 14 (j) Under a program proposed for registration by an employer or employers'
- 15 association, where the standards, collective bargaining agreement or other
- instrument provides for participation by a union in any manner in the operation of
- 17 the substantive matters of the apprenticeship program, and such participation is
- 18 exercised, written acknowledgement of union agreement or no objection to the
- 19 registration is required. Where no such participation is evidenced and practiced, the
- 20 employer or employers' association must simultaneously furnish to an existing
- 21 union, which is the collective bargaining agent of the employees to be trained, a
- 22 copy of its application for registration and of the apprenticeship program. The
- 23 Agency must provide for receipt of union comments, if any, within 45 days before
- 24 final action on the application for registration and/or approval.
- 25 (k) Where the employees to be trained have no collective bargaining agreement, an
- 26 apprenticeship program may be proposed for registration by an employer or group
- of employers, or an employer association.

1 §10204. Criteria for apprenticeable occupations.

- 2 An apprenticeable occupation is one which is specified by industry and which
- 3 must:
- 4 (a) Involve skills that are customarily learned in a practical way through a
- 5 structured, systematic program of on-the-job supervised learning;
- 6 (b)Be clearly identified and commonly recognized throughout an industry;
- 7 (c) Involve the progressive attainment of manual, mechanical or technical skills
- 8 and knowledge which, in accordance with the industry standard for the occupation,
- 9 would require the completion of at least 2,000 hours of on-the-job learning to
- 10 attain; and
- 11 (d) Require related instruction to supplement the on-the-job learning.

12 §10205. Standards of apprenticeship.

- 13 An apprenticeship program, to be eligible for approval and registration by the
- 14 Agency, must conform to the following standards:
- 15 (a) The program must have an organized, written plan (program standards)
- 16 embodying the terms and conditions of employment, training, and supervision of
- one or more apprentices in an apprenticeable occupation, as defined in this part,
- and subscribed to by a sponsor who has undertaken to carry out the apprentice
- 19 training program.
- 20 (b) The program standards must contain provisions that address:
- 21 (1) The employment and training of the apprentice in a skilled occupation.
- 22 (2) The term of apprenticeship, which for an individual apprentice may be
- 23 measured either through the completion of the industry standard for on-the-job
- 24 learning (at least 2,000 hours) (time-based approach), the attainment of
- 25 competency (competency-based approach), or a blend of the time-based and
- competency-based approaches (hybrid approach).

(i) The time-based approach measures skill acquisition through the individual apprentice's completion of at least 2,000 hours of on-the-job learning as described in a work process schedule.

- (ii) The competency-based approach measures skill acquisition through the individual apprentice's successful demonstration of acquired skills and knowledge, as verified by the program sponsor. Programs utilizing this approach must still require apprentices to complete an on-the-job learning component of Registered Apprenticeship. The program standards must address how on-the-job learning will be integrated into the program, describe competencies, and identify an appropriate means of testing and evaluation for such competencies.
- (iii) The hybrid approach measures the individual apprentice's skill acquisition through a combination of specified minimum number of hours of onthe-job learning and the successful demonstration of competency as described in a work process schedule.
- (iv) The determination of the appropriate approach for the program standards is made by the program sponsor, subject to approval by the Agency of the determination as appropriate to the apprenticeable occupation for which the program standards are registered.
- (3) An outline of the work processes in which the apprentice will receive supervised work experience and training on the job, and the allocation of the approximate amount of time to be spent in each major process.
- (4) Provision for organized, related instruction in technical subjects related to the occupation. A minimum of 144 hours for each year of apprenticeship is recommended. This instruction in technical subjects may be accomplished through media such as classroom, occupational or industry courses, electronic media, or other instruction approved by the Agency. Every apprenticeship instructor must:

- (i) Meet the Guam Department of Education's requirements for a 1 vocational-technical instructor, or be a subject matter expert, which is an 2 individual, such as a journeyworker, who is recognized within an industry as 3 having expertise in a specific occupation; and 4
- (ii) Have training in teaching techniques and adult learning styles, which may occur before or after the apprenticeship instructor has started to provide the related technical instruction. 7

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- (5) A progressively increasing schedule of wages to be paid to the apprentice consistent with the skill acquired. The entry wage must not be less than the minimum wage prescribed by the Fair Labor Standards Act, where applicable, unless a higher wage is required by other applicable Federal law, State law, respective regulations, or by collective bargaining agreement.
- (6) Periodic review and evaluation of the apprentice's performance on the job and in related instruction; and the maintenance of appropriate progress records.
- (7) A numeric ratio of apprentices to journeyworkers consistent with proper supervision, training, safety, and continuity of employment, and applicable provisions in collective bargaining agreements, except where such ratios are expressly prohibited by the collective bargaining agreements. The ratio language must be specific and clearly described as to its application to the job site, workforce, department or plant.
- (8) A probationary period reasonable in relation to the full apprenticeship term, with full credit given for such period toward completion of apprenticeship. The probationary period cannot exceed 25 percent of the length of the program, or 1 year, whichever is shorter.
- (9) Adequate and safe equipment and facilities for training and supervision, 25 and safety training for apprentices on the job and in related instruction. 26

- 1 (10)The minimum qualifications required by a sponsor for persons entering the 2 apprenticeship program, with an eligible starting age not less than 16 years.
- (11) The placement of an apprentice under a written Apprenticeship Agreement that meets the requirements of §10207. The agreement must directly, or by reference, incorporate the standards of the program as part of the agreement.
- 6 (12)The granting of advanced standing or credit for demonstrated competency, 7 acquired experience, training, or skills for all applicants equally, with 8 commensurate wages for any progression step so granted.
 - (13)The transfer of an apprentice between apprenticeship programs and within an apprenticeship program must be based on agreement between the apprentice and the affected apprenticeship committees or program sponsors, and must comply with the following requirements:
 - (i) The transferring apprentice must be provided a transcript of related instruction and on-the-job learning by the committee or program sponsor;
 - (ii) Transfer must be to the same occupation; and

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- (iii) A new apprenticeship agreement must be executed when the transfer occurs between program sponsors.
- 18 (14)Assurance of qualified training personnel and adequate supervision on the 19 job.
- 20 (15)Recognition for successful completion of apprenticeship evidenced by an 21 appropriate certificate issued by the Agency.
 - (16)Program standards that utilize the competency-based or hybrid approach for progression through an apprenticeship and that choose to issue interim credentials must clearly identify the interim credentials, demonstrate how these credentials link to the components of the apprenticeable occupation, and establish the process for assessing an individual apprentice's demonstration of competency associated with the particular interim credential. Further, interim credentials must only be

- 1 issued for recognized components of an apprenticeable occupation, thereby linking
- 2 interim credentials specifically to the knowledge, skills, and abilities associated
- 3 with those components of the apprenticeable occupation.
- 4 (17)Identification of the Agency.
- 5 (18)Provision for the registration, cancellation and deregistration of the
- 6 program; and for the prompt submission of any program standard modification or
- 7 amendment to the Agency for approval.
- 8 (19)Provision for registration of apprenticeship agreements, modifications, and
- 9 amendments; notice to the Agency of persons who have successfully completed
- apprenticeship programs; and notice of transfers, suspensions, and cancellations of
- apprenticeship agreements and a statement of the reasons therefore.
- 12 (20) Authority for the cancellation of an apprenticeship agreement during the
- 13 probationary period by either party without stated cause; cancellation during the
- 14 probationary period will not have an adverse impact on the sponsor's completion
- 15 rate.
- 16 (21)Compliance with 22 GCA 10, Article 3, including the equal opportunity
- 17 pledge prescribed in 22 GCA §10303(b); an affirmative action plan complying
- with 22 GCA §10304; and a method for the selection of apprentices authorized by
- 19 22 GCA §10306. The apprenticeship standards must also include a statement that
- 20 the program will be conducted, operated and administered in conformity with
- 21 applicable provisions of 22 GCA 10, Article 3.
- 22 (22)Contact information (name, address, telephone number, and e-mail address
- 23 if appropriate) for the appropriate individual with authority under the program to
- 24 receive, process and make disposition of complaints.
- 25 (23)Recording and maintenance of all records concerning apprenticeship as
- 26 may be required by the Agency and other applicable law.
- 27 §10206. Program performance standards.

- 1 (a) Every registered apprenticeship program must have at least one registered
- 2 apprentice, except for the following specified periods of time, which may not
- 3 exceed 1 year:
- 4 (1) Between the date when a program is registered and the date of registration
- 5 for its first apprentice(s); or
- 6 (2) Between the date that a program graduates an apprentice and the date of
- 7 registration for the next apprentice(s) in the program.
- 8 (b) The Agency must evaluate performance of registered apprenticeship programs.
- 9 (1) The tools and factors to be used must include, but are not limited to:
- (i) Quality assurance assessments;
- 11 (ii) Equal Employment Opportunity (EEO) Compliance Reviews; and
- 12 (iii) Completion rates.
- 13 (2) Any additional tools and factors used by the Agency in evaluating program
- 14 performance must adhere to the goals and policies of the Department articulated in
- this part and in guidance issued by the Office of Apprenticeship.
- 16 (c) In order to evaluate completion rates, the Agency must review a program's
- 17 completion rates in comparison to the national average for completion rates. Based
- on the review, the Agency must provide technical assistance to programs with
- 19 completion rates lower than the national average.
- 20 (d) Cancellation of apprenticeship agreements during the probationary period will
- 21 not have an adverse impact on a sponsor's completion rate.
- 22 §10207. Apprenticeship agreement.
- 23 The apprenticeship agreement must contain, explicitly or by reference:
- 24 (a) Names and signatures of the contracting parties (apprentice, and the program
- 25 sponsor or employer), and the signature of a parent or guardian if the apprentice is
- a minor.

- 1 (b) The date of birth and, on a voluntary basis, Social Security number of the
- 2 apprentice.
- 3 (c) Contact information of the Program Sponsor and Agency.
- 4 (d) A statement of the occupation in which the apprentice is to be trained, and the
- 5 beginning date and term (duration) of apprenticeship.
- 6 (e) A statement showing:
- 7 (1) The number of hours to be spent by the apprentice in work on the job in a
- 8 time-based program; or a description of the skill sets to be attained by completion
- 9 of a competency-based program, including the on-the-job learning component; or
- the minimum number of hours to be spent by the apprentice and a description of
- the skill sets to be attained by completion of hybrid program; and
- 12 (2) The number of hours to be spent in related instruction in technical subjects
- 13 related to the occupation, which is recommended to be not less than 144 hours per
- 14 year.

- 15 (f) A statement setting forth a schedule of the work processes in the occupation or
- 16 industry divisions in which the apprentice is to be trained and the approximate time
- 17 to be spent at each process.
- 18 (g) A statement of the graduated scale of wages to be paid to the apprentice and
- whether or not the required related instruction is compensated.
- 20 (h) Statements providing:
- 21 (1) For a specific period of probation during which the apprenticeship
- agreement may be cancelled by either party to the agreement upon written notice to
- 23 the Agency, without adverse impact on the sponsor.
- 24 (2) That, after the probationary period, the agreement may be:
 - (i) Cancelled at the request of the apprentice, or

- 1 (ii) Suspended or cancelled by the sponsor, for good cause, with due notice
- 2 to the apprentice and a reasonable opportunity for corrective action, and with
- written notice to the apprentice and to the Agency of the final action taken.
- 4 (i) A reference incorporating as part of the agreement the standards of the
- 5 apprenticeship program as they exist on the date of the agreement and as they may
- 6 be amended during the period of the agreement.
- 7 (j) A statement that the apprentice will be accorded equal opportunity in all phases
- 8 of apprenticeship employment and training, without discrimination because of
- 9 race, color, religion, national origin, or sex.
- 10 (k) Contact information (name, address, phone, and e-mail if appropriate) of the
- 11 appropriate authority designated under the program to receive, process and make
- 12 disposition of controversies or differences arising out of the apprenticeship
- 13 agreement when the controversies or differences cannot be adjusted locally or
- 14 resolved in accordance with the established procedure or applicable collective
- 15 bargaining provisions.
- 16 §10208. Deregistration of a registered program.
- 17 Deregistration of a program may be effected upon the voluntary action of the
- 18 sponsor by submitting a request for cancellation of the registration in accordance
- 19 with paragraph (a) of this section, or upon reasonable cause, by the Agency
- 20 instituting formal deregistration proceedings in accordance with paragraph (b) of
- 21 this section.
- 22 (a) Deregistration at the request of the sponsor. The Agency may cancel the
- 23 registration of an apprenticeship program by written acknowledgment of such
- 24 request stating the following:
- 25 (1) The registration is cancelled at the sponsor's request, and the effective date
- 26 thereof;

- (2) That, within 15 days of the date of the acknowledgment, the sponsor will notify all apprentices of such cancellation and the effective date; that such cancellation automatically deprives the apprentice of individual registration; that the deregistration of the program removes the apprentice from coverage for Federal purposes which require the Secretary's approval of an apprenticeship program, and that all apprentices are referred to the Agency for information about potential transfer to other registered apprenticeship programs.
- 8 (b) Deregistration by the Agency upon reasonable cause.

- (1)(i) Deregistration proceedings may be undertaken when the apprenticeship program is not conducted, operated, or administered in accordance with the program's registered provisions or with the requirements of this part, including not but limited to: failure to provide on-the-job learning; failure to provide related instruction; failure to pay the apprentice a progressively increasing schedule of wages consistent with the apprentices skills acquired; or persistent and significant failure to perform successfully. Deregistration proceedings for violation of equal opportunity requirements must be processed in accordance with the provisions under 22 GCA 10, Article 3.
- (ii) For purposes of this section, persistent and significant failure to perform successfully occurs when a program sponsor consistently fails to register at least one apprentice, shows a pattern of poor quality assessment results over a period of several years, demonstrates an ongoing pattern of very low completion rates over a period of several years, or shows no indication of improvement in the areas identified by the Agency during a review process as requiring corrective action.
- (2) Where it appears the program is not being operated in accordance with the registered standards or with requirements of this part, the Agency must notify the program sponsor in writing.

- (3) The notice sent to the program sponsor's contact person must:
 - (i) Be sent by registered or certified mail, with return receipt requested;
 - (ii) State the shortcoming(s) and the remedy required; and
- 4 (iii) State that a determination of reasonable cause for deregistration will be 5 made unless corrective action is effected within 30 days.
 - (4) Upon request by the sponsor for good cause, the 30-day term may be extended for another 30 days. During the period for corrective action, the Agency must assist the sponsor in every reasonable way to achieve conformity.
 - (5) If the required correction is not effected within the allotted time, the Agency must send a notice to the sponsor, by registered or certified mail, return receipt requested, stating the following:
 - (i) The notice is sent under this paragraph;

- (ii) Certain deficiencies were called to the sponsor's attention (enumerating them and the remedial measures requested, with the dates of such occasions and letters), and that the sponsor has failed or refused to effect correction;
- (iii) Based upon the stated deficiencies and failure to remedy them, a determination has been made that there is reasonable cause to deregister the program and the program may be deregistered unless, within 15 days of the receipt of this notice, the sponsor requests a hearing with the Agency; and
- (iv) If the sponsor does not request a hearing, the entire matter will be submitted to the Administrator, for a decision on the record with respect to deregistration.
- (6) If the sponsor does not request a hearing, the Agency will transmit to the Administrator a report containing all pertinent facts and circumstances concerning the nonconformity, including the findings and recommendation for deregistration, and copies of all relevant documents and records. Statements concerning interviews, meetings and conferences will include the time, date, place, and

- persons present. The Administrator will make a final order on the basis of the
- 2 record presented.
- 3 (7) If the sponsor requests a hearing, the Agency shall prepare and transmit to
- 4 the Administrator a report containing all the data listed in paragraph (b)(6) of this
- 5 section, and the Administrator will refer the matter to the Office of Administrative
- 6 Law Judges. An Administrative Law Judge will convene a hearing in accordance
- with §10210, and issue a decision as required in §10210(c).
- 8 (8) Every order of deregistration must contain a provision that the sponsor
- 9 must, within 15 days of the effective date of the order, notify all registered
- apprentices of the deregistration of the program; the effective date thereof; that
- such cancellation automatically deprives the apprentice of individual registration;
- that the deregistration removes the apprentice from coverage for Federal purposes
- which require the Director's approval of an apprenticeship program; and that all
- 14 apprentices are referred to the Agency for information about potential transfer to
- other registered apprenticeship programs.
- 16 §10209. Reinstatement of program registration.
- 17 Any apprenticeship program deregistered under §10208 may be reinstated upon
- presentation of adequate evidence that the apprenticeship program is operating in
- 19 accordance with this part. Such evidence must be presented to the Agency.
- 20 §10210. Hearings for deregistration.
- 21 (a) Within 10 days of receipt of a request for a hearing, the Administrator of the
- Office of Apprenticeship must contact the Office of Administrative Law Judges to
- 23 request the designation of an Administrative Law Judge to preside over the
- 24 hearing. The Administrative Law Judge shall give reasonable notice of such
- 25 hearing by registered mail, return receipt requested, to the appropriate sponsor.
- 26 Such notice will include:
- 27 (1) A reasonable time and place of hearing;

- 1 (2) A statement of the provisions of this part pursuant to which the hearing is 2 to be held; and
- 3 (3) A concise statement of the matters pursuant to which the action forming the 4 basis of the hearing is proposed to be taken.
- (b) The procedures contained in 29 CFR part 18 will apply to the disposition of the
 request for hearing except that:
- 7 (1) The Administrative Law Judge will receive, and make part of the record, 8 documentary evidence offered by any party and accepted at the hearing. Copies 9 thereof will be made available by the party submitting the documentary evidence 10 to any party to the hearing upon request.
- 12 (2) Technical rules of evidence will not apply to hearings conducted pursuant 12 to this part, but rules or principles designed to assure production of the most 13 credible evidence available and to subject testimony to test by cross-examination 14 will be applied, where reasonably necessary, by the hearing conducting the 15 hearing. The hearing officer may exclude irrelevant, immaterial, or unduly 16 repetitious evidence.
- (c) The Administrative Law Judge should issue a written decision within 90 days 17 of the close of the hearing record. The Administrative Law Judge's decision 18 constitutes final agency action unless, within 15 days from receipt of the decision, 19 a party dissatisfied with the decision files a petition for judicial review with the 20 Administrative Review Board, specifically identifying the procedure, fact, law or 21 policy to which exception is taken. Any exception not specifically urged is 22 deemed to have been waived. A copy of the petition for judicial review must be 23 sent to the opposing party at the same time. Thereafter, the decision of the 24 Administrative Law Judge remains final agency action unless the Administrative 25 Review Board, within 30 days of the filing of the petition for review, notifies the 26 parties that it has accepted the case for review. The Administrative Review Board 27

- 1 may set a briefing schedule or decide the matter on the record. The Administrative
- 2 Review Board must decide any case it accepts for judicial review within 180 days
- 3 of the close of the record. If not so decided, the Administrative Law Judge's
- 4 decision constitutes final agency action.

5 §10211. Limitations.

- 6 Nothing in this part or in any apprenticeship agreement will operate to invalidate:
- 7 (a) Any apprenticeship provision in any collective bargaining agreement
- 8 between employers and employees establishing higher apprenticeship standards; or
- 9 (b) Any special provision for veterans, minority persons, or women in the
- 10 standards, apprentice qualifications or operation of the program, or in the
- apprenticeship agreement, which is not otherwise prohibited by law, Executive
- 12 Order, or authorized regulation.

13 **§10212.** Complaints.

- 14 (a) This section is not applicable to any complaint concerning discrimination or
- other equal opportunity matters; all such complaints must be submitted, processed
- and resolved in accordance with applicable provisions in 22 GCA 10, Article 3.
- 17 (b) Except for matters described in paragraph (a) of this section, any controversy or
- 18 difference arising under an apprenticeship agreement which cannot be adjusted
- 19 locally and which is not covered by a collective bargaining agreement, may be
- 20 submitted by an apprentice, or the apprentice's authorized representative, to the
- 21 Agency, which has registered and/or approved the program in which the apprentice
- 22 is enrolled, for review. Matters covered by a collective bargaining agreement are
- 23 not subject to such review.
- 24 (c) The complaint must be in writing and signed by the complainant, or authorized
- 25 representative, and must be submitted within 60 days of the final decision. It must
- set forth the specific matter(s) complained of, together with relevant facts and

1	circumstances.	Copies	of	pertinent	documents	and	correspondence	must
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- 2 accompany the complaint.
- 3 (d) The Agency as appropriate will render an opinion within 90 days after receipt
- 4 of the complaint, based upon such investigation of the matters submitted as may be
- 5 found necessary, and the record before it. During the 90-day period, the Agency
- 6 will make reasonable efforts to effect a satisfactory resolution between the parties
- 7 involved. If so resolved, the parties will be notified that the case is closed. Where
- 8 an opinion is rendered, copies will be sent to all interested parties.
- 9 (e) Nothing in this section precludes an apprentice from pursuing any other remedy
- authorized under another Federal, State, or local law.

11 **§10213.** Reciprocity.

- 12 The Agency will accord reciprocal approval for Federal purposes to apprentices,
- 13 apprenticeship programs and standards that are registered in other States by the
- 14 Office of Apprenticeship (OA) or a State Apprenticeship Agency recognized by
- 15 OA, if such reciprocity is requested by the apprenticeship program sponsor.
- 16 Program sponsors seeking reciprocal approval must meet the wage and hour
- 17 provisions and apprentice ratio standards of the reciprocal State.

Division 1

19 Labor Regulations

CHAPTER 10

21 THE APPRENTICESHIP ACT OF GUAM

22 ARTICLE 3

23 GUAM STATE PLAN FOR EQUAL EMPLOYMENT OPPORTUNITY IN

24 APPRENTICESHIP AND TRAINING

- 25 §10301. Scope and purpose
- 26 §10302. Definitions
- 27 §10303. Equal opportunity standards

- 1 §10304. Affirmative action plans
- 2 §10305. Goals and timetables
- 3 §10306. Selection of apprentices
- 4 §10307. Selection on basis of rank from pool of eligible applicants
- 5 §10308. Random selection from pool of eligible applicants
- 6 §10309. Selection from pool of current employees
- 7 §10310. Alternative selection methods
- 8 §10311. List of eligibles and public notice
- 9 §10312. Records
- 10 §10313. Compliance reviews
- 11 §10314. Noncompliance with federal and state equal opportunity requirements
- 12 §10315. Complaint procedure
- 13 §10316. Adjustments in schedule for compliance review or complaint
- 14 processing
- 15 §10317. Sanctions
- 16 §10318. Reinstatement of program registration
- 17 §10319. Retaliatory acts or intimidation
- 18 §10320. Nondiscrimination
- 19 §10321. Exemptions
- 20 §10322. Hearings
- 21 §10301. Scope and Purpose. This plan sets forth policies and procedures to
- 22 promote equality of opportunity in apprenticeship programs registered with the
- 23 Guam Department of Labor, Division of Apprenticeship. These policies and
- 24 procedures apply to the recruitment and selection of apprentices, and to all
- 25 conditions of employment and training during apprenticeship. The procedures
- 26 established provide for review of apprenticeship programs, for registering
- 27 apprenticeship programs, for processing complaints, and for deregistering non-

- 1 complying apprenticeship programs. The purpose of this plan is to promote
- 2 equality of opportunity in apprenticeship by prohibiting discrimination based on
- 3 race, color, religion, national origin, or sex in apprenticeship programs, by
- 4 requiring affirmative action to provide equal opportunity in such apprenticeship
- 5 programs, and by coordinating this plan with other equal opportunity programs.
- 6 The U.S. Department of Labor has the authority to conduct compliance reviews
- 7 and complaint investigations to determine whether this plan is administered in
- 8 accordance with federal regulations.
- 9 §10302. **Definitions.** As used in this plan:
- 10 Agency means the Guam Department of Labor, Division of Apprenticeship.
- 11 Apprenticeship Program means a plan containing all terms and conditions for the
- 12 qualification, recruitment, selection, employment and training of apprentices, as
- 13 required under 22 GCA 10, Article 2, Guam Labor Standards for the Registration
- 14 of Apprenticeship Programs, and Article 3, Guam State Plan for Equal
- 15 Employment Opportunity in Apprenticeship Programs, including such matters as
- 16 the requirement for a written apprenticeship agreement.
- 17 Department means U.S. Department of Labor, Employment and Training
- 18 Administration, Office of Apprenticeship.
- 19 Employer means any person or organization employing an apprentice whether or
- 20 not such person or organization is a party to an Apprenticeship Agreement with the
- 21 apprentice.
- 22 Guam State Apprenticeship Council (GSAC) is an entity established to assist the
- 23 Agency. The Guam State Apprenticeship Council provides advice and guidance to
- 24 the Agency on the operation of the State's apprenticeship system.
- 25 Sponsor means any person, association, committee, or organization operating an
- 26 apprenticeship program and in whose name the program is (or is to be) registered
- or approved.

§10303. Equal Opportunity Standards.

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- 2 (a) Obligations of sponsors. Each sponsor of an apprenticeship program shall:
- 3 (1) Recruit, select, employ, and train apprentices during their apprenticeship,
- 4 without discrimination because of race, color, religion, national origin, or sex; and,
- 5 (2) Uniformly apply rules and regulations concerning apprentices,
- 6 including but not limited to, equality of wages, periodic advancement, promotion,
- 7 assignment of work, job performance, rotation among all work processes of the
- 8 trade, imposition of penalties or other disciplinary action, and all other aspects of
- 9 the apprenticeship program administration by the program sponsor; and,
- 10 (3) Take affirmative action to provide equal opportunity in apprenticeship,
- including adoption of an affirmative action plan as required by this plan.
- (4) The Sponsor will provide equal employment opportunity in
- apprenticeship, and the apprenticeship program shall be operated as required under
- 14 22 Guam Code Annotated (GCA) 10, Article 2.
- 15 (b) Equal opportunity pledge. Each sponsor of an apprenticeship program shall
- include in its standards the following equal opportunity pledge: "The recruitment,
- selection, employment, and training of apprentices during their apprenticeship,
- 18 shall be without discrimination because of race, color, religion, national origin, or
- 19 sex. The sponsor will take affirmative action to provide equal opportunity in
- apprenticeship and will operate the apprenticeship program as required under 22
- 21 GCA 10, Article 3."

- 22 (c) Programs presently registered. Each sponsor of a program registered with
- the Agency as of the effective date of this plan shall within 90 days of the effective
- 24 date take the following action:
- 25 (1) Include in the standards of its apprenticeship program the equal
- opportunity pledge prescribed in subsection (b); of this section;
 - (2) Adopt an affirmative action plan required by §10304; and

(3) Adopt a selection procedure required by §10306. A sponsor adopting a selection method under §10307, 10308 or 10309 shall prepare, and have available for submission upon request, copies of its amended standards, affirmative action plans, and selection procedure. A sponsor adopting a selection method under §10310 shall submit to the Agency copies of its standards, affirmative action plan and selection procedure in accordance with the requirements of that section.

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- Sponsors seeking new registration. A sponsor of a program seeking new registration with the Agency shall submit copies of its proposed standards, 8 9 affirmative action plan, selection procedures, under control number 1205-0224 [43 FR 20760, May 12, 1978, as amended at 49 FR information as may be required]. 10 The program shall be registered if such standards, affirmative action plan, and 11 selection procedure meet the requirements of this plan. 12
- Programs subject to approved equal employment opportunity programs. A 13 sponsor shall not be required to adopt an affirmative action plan under §10304 or a 14 selection procedure under §10306 if it submits to the Agency satisfactory evidence 15 that it is in compliance with an equal employment opportunity program providing 16 for the selection of apprentices and for affirmative action in apprenticeship 17 including goals and timetables for women and minorities which has been approved 18 as meeting the requirements of Title VII of the Civil Rights Act of 1964, as 19 amended and its implementing regulations published in 29 CFR, Chapter XIV 20 (Equal Employment Opportunity Commission Rules and Regulations) or 21 Executive Order 11246, as amended, and its implementing regulations of 41 CFR, 22 Chapter 60. Provided, that programs approved, modified or renewed subsequent to 23 the effective date of this amendment will qualify for this exception only if the 24 goals and timetables for minorities and women for the selection of apprentices 25 provided for in such programs are equal to or greater than the goals required under 26 27 this plan.

- 1 (f) Program with fewer than five apprentices. A sponsor of a program in which
- 2 fewer than five apprentices are indentured shall not be required to adopt an
- affirmative action plan under §10304 or a selection procedure under §10306,
- 4 provided that such a program was not adopted to circumvent the requirements of
- 5 this plan.

6 §10304. Affirmative Action Plans.

- 7 (a) Adoption of affirmative action plans. A sponsor's commitment to equal
- 8 opportunity in the recruitment, selection, employment, and training of apprentices
- 9 shall include the adoption of a written affirmative action plan.
- 10 (b) Definition of affirmative action. Affirmative action is not mere passive
- 11 nondiscrimination. It includes procedures, methods, and programs for the
- 12 identification, positive recruitment, training, and motivation of present and
- potential minority and female (minority and nonminority) apprentices, including
- 14 the establishment of goals and timetables. It is action which will equalize
- opportunity in apprenticeship so as to allow full utilization of the work potential of
- 16 minorities and women. The overall result to be sought is equal opportunity in
- 17 apprenticeship for all individuals participating in or seeking entrance to the
- 18 Nation's labor force.
- 19 (c) Outreach and positive recruitment. An acceptable affirmative action plan
- 20 must also include adequate provision for outreach and positive recruitment that
- 21 would reasonably be expected to increase minority and female participation in
- 22 apprenticeship by expanding the opportunity of minorities and women to become
- 23 eligible for apprenticeship selection. In order to achieve these objectives, sponsors
- 24 shall undertake activities such as those listed below. It is not contemplated that
- 25 each sponsor necessarily will include all the listed activities in its affirmative
- 26 action program. The scope of the affirmative action program will depend on all the
- 27 circumstances including the size and type of the program and its resources.

- 1 However, the sponsor shall be required to undertake a significant number of
- 2 appropriate activities in order to enable it to meet its obligations under this plan.
- 3 The affirmative action plan shall set forth the specific steps the sponsor intends to
- 4 take in the areas listed below. Whenever special circumstances warrant, the
- 5 Agency may provide such financial or other assistance as it deems necessary to
- 6 implement the requirements of this paragraph.

- (1) Dissemination of information concerning the nature of the apprenticeship, requirements for admission to apprenticeship, availability of apprenticeship opportunities, sources of apprenticeship applications, and the equal opportunity policy of the sponsor. For programs accepting applications only at specific intervals, such information shall be disseminated at least 30 days in advance of the earliest date for application at each interval. For programs customarily receiving applications throughout the year, such information shall be regularly disseminated but not less than semiannually. Such information shall be given to the Agency, local schools, employment service offices, women's centers, outreach programs, and community organizations which can effectively reach minorities and women, and shall be published in newspapers which are circulated in the minority community and among women, as well as in the general areas in which the program sponsor operates.
- (2) Participation in annual workshops conducted by the Agency's One Stop Career Center and the Agency's quarterly labor clinics for the purpose of familiarizing school, employment service, and other appropriate personnel with the apprenticeship system and current opportunities therein;
- (3) Cooperation with the state department of education, local school boards and vocational education systems Guam Department of Education, the local school board and the Guam Community College to develop programs for preparing

students to meet the standards and criteria required to qualify for entry into apprenticeship programs.

- (4) Internal communication of the sponsor's equal opportunity policy in such a manner as to foster understanding, acceptance, and support among the sponsor's various officers, supervisors, employees, and members and to encourage such persons to take the necessary action to aid the sponsor in meeting its obligations under this plan.
- (5) Engaging in programs such as outreach for the positive recruitment and preparation of potential applicants for apprenticeships; where appropriate and feasible, such programs shall provide for pretesting experience and training. If no such programs are in existence, the sponsor shall seek to initiate these programs, or, when available, to obtain financial assistance from the Agency. In initiating and conducting these programs, the sponsor may be required to work with other sponsors and appropriate community organizations. The sponsor shall also initiate programs to prepare women and encourage women to enter traditionally male programs.
- (6) To encourage the establishment and utilization of programs of preapprenticeship, preparatory trade training, or others designed to afford related work experience or to prepare candidates for apprenticeship, a sponsor shall make appropriate provision in its affirmative action plan to assure that those who complete such programs are afforded full and equal opportunity for admission into the apprenticeship program.
- (7) Utilization of journeypersons to assist in the implementation of the sponsor's affirmative action program.
- (8) Granting advance standing or credit on the basis of previously acquired experience, training, skills, or aptitude for all applicants equally.